

ZTE



# ECCN official website inquiry operation manual

# ECCN Official Website Inquiry Operation Manual

## Operation

### 01 Open ECCN Web Page

Find the "Quick Link" column at the bottom of the home page of ZTE official website, and click "ECCN" to open the ECCN inquiry page:

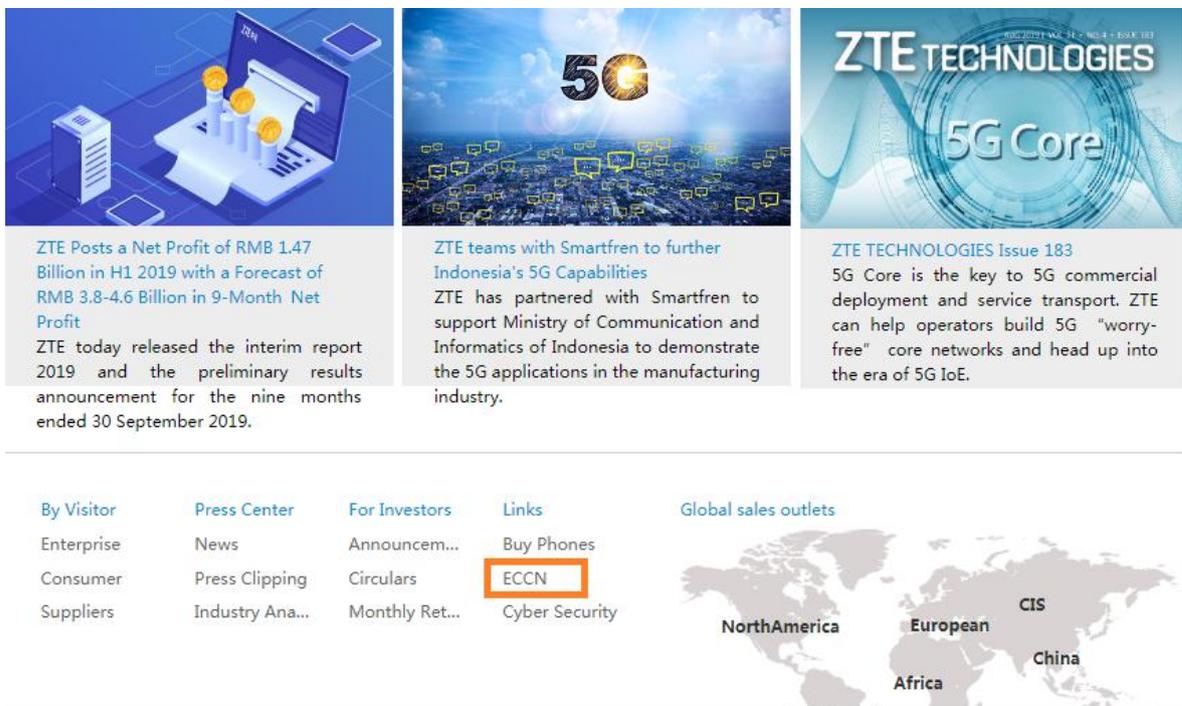


Figure 1 - ZTE Home Page, ECCN Query link at bottom

## ECCN Query

### ECCN Publication Statement

ZTE Corporation ( "ZTEC" ) and its subsidiaries are committed to complying fully with all applicable export control laws and regulations. As part of its compliance efforts, ZTEC makes available to its customers and business partners the applicable Export Control Classification Number ( "ECCN" ), de minimis calculation for items that include controlled U.S.-origin content, and other export control information for products subject to the Export Administration Regulations. Please use the query tool below to search for ECCN and other export control information by Item Code, Item Name or Item Series. Please be aware that the information provided is subject to change and may be updated from time to time based on additional information.

The information provided herein is not intended for use in determining applicable export control restrictions under US export control and sanctions regulations for exports, reexports or other transfer to sanctioned countries and regions. It is ZTE policy not to do business directly or indirectly with sanctioned countries and regions (currently Cuba, Iran, North Korea, Sudan, Syria, and the Crimea region). Exports of ZTE products to these countries and regions are not authorized. The information provided herein does not take into consideration the specifics of any given transaction, where factors such as country of destination, end-user, and end-use may impact license determination (e.g. whether the end-user is on a restricted parties list or whether the end-use is prohibited).

The DM25 column indicates whether an item is subject to the EAR when performing a de minimis calculation where the numerator includes the value of all content that is subject to the EAR and classified in an ECCN subject to controls beyond solely Anti-Terrorism Column 1 (AT1).

ZTE also is providing in the DM10 column a de minimis calculation including the value of all content subject to the EAR, whether classified as EAR99 or specifically enumerated on the Commerce Control List, when the value of such content is greater than 10%. Exporters must review all applicable export control and sanctions regulations and the specific facts of the transaction at issue. Information provided in the DM10 column cannot be relied on for legal compliance purposes. In addition, as noted above, it is ZTE policy not to do business directly or indirectly with the above-referenced sanctioned countries or regions. Any export, reexport or transfer by a third party of ZTE items directly or indirectly to these sanctioned countries is contrary to ZTE policy.

This information does not constitute legal advice under US or other laws and regulations. ZTEC provides ECCNs and other information for informational purposes only, and takes no responsibility for any transactions, exports, re-exports, or transfers undertaken by a third party in reliance on this information.

If you have any questions concerning this query tool or ECCNs provided, please contact: [ZTE.ECCN@zte.com.cn](mailto:ZTE.ECCN@zte.com.cn).

Download [ECCN Official Website Inquiry Operation Manual.pdf](#) .

### Query Conditions

Item Code:	<input type="text" value="Please enter item code"/>
Item Name:	<input type="text" value="Please enter item name"/>
Item Series:	<input type="text" value="Please enter item series"/>
Verification Code:	<input type="text" value="389832"/>  <a href="#">Click the picture if it is not clear.</a>
	<input type="checkbox"/> I have read and agreed to the ZTE <a href="#">privacy policy</a>
	<input type="button" value="Query"/>

No.	Item Code	Item Name	Item Series	Version	De minimis (25%)	Subject to EAR (25%)	US ECCN	License	License Exemption	App Exc
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Figure 2 - ECCN Query Website

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## Accurate query by item code

Enter a complete product code in "Item Code", such as 180000023863, enter the verification code, select "I have read and agreed to the ZTE privacy policy" and click "Query" to execute the accurate query. An example is displayed in Figure 3. When the item code in result is blue, it indicates that this item is for resale materials (set of purchased parts without function). Click [Item Code] in blue highlight to further show the export classification information of the materials in the BOM.

Query Conditions

Item Code : 180000023863

Item Name : Please enter item name

Item Series : Please enter item series

Verification Code : 259077  Click the picture if it is not clear.

I have read and agreed to the ZTE privacy policy

Query

No.	Item Code	Item Name	Item Series	Version	De minimis (25%)	Subject to EAR (25%)	US ECCN	License	License Exception	App Exc Cl
1	180000023863	2Mbps BITS interface board	IBB	AB	0.00%	NO	5A002		ENC	74

Figure 3 - Complete Item Code Result

## 03 Fuzzy query by item codes

1) Enter an incomplete product code in "Item Code," such as "180000023", enter the verification code and select "I have read and agreed to the ZTE privacy policy", click "Query" and execute the fuzzy query. An example is displayed in Figure 4. When the item code in the result is blue, it indicates that this item is for resale materials (set of purchased parts without function). Click [Item Code] in blue highlight to further show the export classification information of the materials in the BOM.

Query Conditions

Item Code : 180000023

Item Name : Please enter item name

Item Series : Please enter item series

Verification Code :  Click the picture if it is not clear.  
 I have read and agreed to the ZTE privacy policy

[Query](#)

No.	Item Code	Item Name	Item Series	Version	De minimis (25%)	Subject to EAR (25%)	US ECCN	License	License Exception	App Exc Cl
1	180000023273	XFP-10GE(10GBASE-ER/EW,LC)	XFP-10GE(10GBASE-ER/EW)	AB		YES				
2	180000023522		ZXCTN 9002	AB	12.04%	NO	5A002		ENC	74
3	180000023491	Ethernet electrical interface switching board	ESFEEx8	AB	0.00%	NO	5A002		ENC	74

Figure 4 - Fuzzy Query with Incomplete Item Code

2) The asterisk character, "\*", can be used to represent one or more digits in "Item Code" for fuzzy query - for example, "180000\*863" will return item codes starting with 180000 and ending with 863. An example is displayed in Figure 5. When the item code in the result is blue, it indicates that this item is for resale materials (set of purchased parts without function). Click [Item Code] in blue highlight to further show the export classification information of the materials in the BOM.

Query Conditions

Item Code : 180000\*863

Item Name : Please enter item name

Item Series : Please enter item series

Verification Code :  Click the picture if it is not clear.  
 I have read and agreed to the ZTE privacy policy

[Query](#)

No.	Item Code	Item Name	Item Series	Version	De minimis (25%)	Subject to EAR (25%)	US ECCN	License	License Exception	App Exc Cl
1	180000086371	63 port E1 board Unit board	R63E1U	AB	2.48%	NO	5A002		ENC	74
2	180000023863	2Mbps BITS interface board	IBB	AB	0.00%	NO	5A002		ENC	74
3	180000086372	8 port Fast Ethernet Fiber interface	R8FEF	AB	7.76%	NO	5A002		ENC	74
4	180000418639	FC Switch Module of Blade Server		AB		YES				

Figure 5 - Fuzzy Query Using "\*" Character

3) The question mark character, "?", can be used to represent a digit in "Item Code" for fuzzy query - for example, "180000?23863" starting with 180000 and ending with

23863) for fuzzy query. An example is displayed in Figure 6. When the item code in result is blue, it indicates that this item is for resale materials (set of purchased parts without function). Click [Item Code] in blue highlight to further show the export classification information of the materials in the BOM.

Query Conditions

Item Code : 180000023863

Item Name : Please enter item name

Item Series : Please enter item series

Verification Code : 597973 [Click the picture if it is not clear.](#)

I have read and agreed to the ZTE [privacy policy](#)

[Query](#)

No.	Item Code	Item Name	Item Series	Version	De minimis (25%)	Subject to EAR (25%)	US ECCN	License	License Exception	App Exc Cl
1	180000023863	2Mbps BITS interface board	IBB	AB	0.00%	NO	5A002		ENC	74

Figure 6 - Fuzzy Query Using "?" Character

## 04 Fuzzy query by item name or item series

1) Input product name in "Item Name" such as "Interface Board", enter the verification code, select "I have read and agreed to the ZTE privacy policy", and click "Query" to execute the fuzzy query. An example is displayed in Figure 7. When the item code in result is blue, it indicates that this item is for resale materials (set of purchased parts without function). Click [Item Code] in blue highlight to further show the export classification information of the materials in the BOM.

Query Conditions

Item Code :

Item Name :

Item Series :

Verification Code :  [Click the picture if it is not clear.](#)

I have read and agreed to the ZTE privacy policy

No.	Item Code	Item Name	Item Series	Version	De minimis (25%)	Subject to EAR (25%)	US ECCN	License	License Exception	App Exc Cl
1	122393751001	Interface Board	INTF	BDB	0.00%	NO	5A002		ENC	74
2	130000159857	Interface Board	INTF	ADB	0.00%	NO	EAR99	N/A		
3	130000159857	Interface Board	INTF	ABB	0.00%	NO	EAR99	N/A		
4	130000159857	Interface Board	INTF	ACB	0.00%	NO	EAR99	N/A		
5	122393751000	Interface Board		AB	0.00%	NO	5A002		ENC	74

Figure 7 - Fuzzy Query with Item Name

2) Input product model in "Item Series" to execute fuzzy query. An example is displayed in Figure 8. When the item code in result is blue, it indicates that this item is for resale materials (set of purchased parts without function). Click [Item Code] in blue highlight to further show the export classification information of the materials in the BOM.

Query Conditions

Item Code :

Item Name :

Item Series :

Verification Code :  [Click the picture if it is not clear.](#)

I have read and agreed to the ZTE privacy policy

No.	Item Code	Item Name	Item Series	Version	De minimis (25%)	Subject to EAR (25%)	US ECCN	License	License Exception	App Exc Cl
1	123080450226	User Interface Board	UIB	ACB	0.00%	NO	EAR99		NLR	
2	123083851050	Mini Interface Board	MIB	ACB	0.00%	NO	EAR99		NLR	
3	130000003055	Charge Interface Board	CIB	FAB	0.00%	NO	5A002		ENC	74
4	130000003055	Charge Interface Board	CIB	FEC	0.00%	NO	5A002		ENC	74
5	180000023863	2Mbps BITS interface board	IBB	AB	0.00%	NO	5A002		ENC	74

Figure 8 - Fuzzy Query with Item Series

## Key Field

Key Field	Display Result	Description
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De minimis (25%)	Percentage	DM25 (de minimis 25%) is the de minimis of the product based on the 25% de minimis threshold specified in the EAR, applicable to countries/regions except Country Group E:1 of Supplement No. 1 to EAR §740 (i.e. embargoed countries/regions). Reference EAR §734.4(d).
	null	Purchased parts / resale materials (set of purchased parts without function) does not need de minimis calculation by ZTE. Subject to EAR is based on US ECCN value provided by supplier.
Subject to EAR (25%)	YES/NO	Determines whether the product is subject to EAR according to the [DM25] value. When [DM25] > 25%, the product is subject to EAR for DM25. When [DM25] <= 25%, the product is not subject to EAR for DM25.
US ECCN	ECCN encoding consisting of digits and letters	The US ECCN is the five-character alpha-numeric designation to identify dual-use items for export control purposes. This number is used on the Commerce Control List (CCL) of the EAR.
	EAR99	If the product is subject to EAR but not in CCL, it is EAR99.
	N/A	The product is not subject to EAR and no ECCN classification is required.
License	License No.	Export license authority issued by the United States or other countries/regions (except Hong Kong) authorizing an export, reexport, or other regulated activity, and is currently limited to US-issued export authorizations in the ECCN System.
	N/A or null	For a product with a [US ECCN], it indicates that the product has no license.
License Exception	License Exceptions type Specified in EAR §740	An authorization that allows you to export or reexport, under stated conditions, items subject to the EAR that otherwise would require a license. This field shows the type of the license exception applicable to the product.
	NLR	There is no license required, and therefore no license exception is required.
	Null	For products with a ECCN and [License Exception] is null, this indicates no license is required, and therefore no license exception is required.
Applicable Exception Clause	License Exceptions Number Specified in EAR §740	Applicable Exception Clause, the number of the legal clause corresponding to the license exception in the EAR. This field shows the specific clause of

		the license exception that is applicable to the product.
	Null	For products with an ECCN and [License Exception] is “NLR” or null, means no license required; For products with an ECCN and [License Exception] is not “NLR” or null, the field [Applicable Exception Clause] should not be null.
CCATS	String of numbers and letters	CCATS (Commodity Classification Automated Tracking System), when a product has been submitted to BIS to be classified, BIS assigns a Commodity Classification Automated Tracking System number to each classified product.
	null	When this field is null, it indicates that there is no CCATS number.
De minimis (10%)	Percentage	DM10 (de minimis 10%), is the de minimis of the product with all US content included in the numerator of the de minimis calculation. This field is intended to represent de minimis applicability to Country Group E:1 to Supplement No. 1 to EAR §740, but it is ZTE policy not to do business directly or indirectly with embargoed countries/regions.
	null	Purchased parts / resale materials (set of purchased parts without function) does not need de minimis calculation by ZTE.
Subject to EAR (10%)	YES/NO	Determines whether the product is subject to EAR according to the [DM10] value. When [DM10] > 10%, the product is subject to EAR for DM10. When [DM10] <= 10%, the product is not subject to EAR for DM10. However, it is ZTE policy to not do business directly or indirectly with embargoed countries/regions.
Remarks	This is a third-party item, subject to EAR, and its classification information was provided by the supplier.	This remark is applicable to purchased parts or resale materials provided by the supplier (set of purchased parts without function). For the purchased parts or resale materials (set of purchased parts without function), the supplier provides the export classification information about the products. De minimis is not applicable and is not displayed in ECCN System. ZTE does not classify these items, but export classification information is provided by the supplier. To query the export classification information, click the material code (displayed in blue).

	<p>This is a configurable product. The de minimis is calculated based on typical configuration and is only for reference.</p>	<p>This remark is applicable to ZTE-made non-fixed configuration products. The de minimis of this product is calculated based on the typical configuration. The de minimis displayed in ECCN system is only for reference. The actual transaction depends on the result of GTS check.</p>
	<p>This is a configurable re-sold product, please see through to review the ECCNs of purchase item.</p>	<p>This remark is applicable to material combination provided by supplier, and the export classification information is provided by supplier. De minimis is not applicable and is not displayed in ECCN System. ZTE does not classify these items, but export classification information is provided by the supplier. To query the export classification information, click the item code(displayed in blue).</p>
	<p>null</p>	<p>ZTE-made fixed configuration product.</p>

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